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ARTICLE

# Oceanographic conditions and marine mammals: identifying a potential relationship in the coastal region of the Mexican Central Pacific

Condiciones oceanográficas y mamíferos marinos: identificando una posible relación en la región costera del Pacífico Central Mexicano

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Resumen.- Se investigaron las condiciones oceanográficas que podrían influir en la riqueza y distribución de mamíferos marinos en costas del Pacífico Central Mexicano durante el 2011. Las observaciones de mamíferos marinos se realizaron desde dos plataformas de investigación. Se muestrearon mensualmente 6 estaciones, donde se realizaron lances de CTD hasta 100 m y se tomaron muestras de agua para determinación de nutrientes inorgánicos; y otras 15 estaciones fueron muestreadas solamente durante invierno en una región más amplia. Se utilizaron imágenes de satélite (MODIS-AQUA L2) para obtener valores de temperatura superficial del mar (TSM) y clorofila (Chl-a); y datos de altimetría para identificar estructuras dinámicas de mesoescala en la región. Durante invierno-primavera se encontraron valores altos de salinidad (34,6), valores bajos de nitratos y nitritos (~3-4 μM), silicatos (5-7 μM) y clorofila (~10 mg m<sup>-3</sup>); como también valores bajos de temperatura (~23,5°C) y la presencia de giros ciclónicos cerca de la costa. Esto coincidió con la riqueza mayor (de 5 a 9 especies dependiendo la escala espacial) de mamíferos marinos en la zona. La especie dominante fue Megaptera novaeangliae (0,092 avistamientos km<sup>-1</sup>), la cual se distribuyó hacia zonas costeras en aguas someras. Durante verano-otoño se registraron valores bajos de salinidad (32,8) y Chl-a (0,1 mg m<sup>-3</sup>). Se detectó una disponibilidad alta de fosfatos (2,5 µM), la presencia de giros anticiclónicos y temperaturas altas (~31°C), coincidiendo con la riqueza más baja (3 especies) de mamíferos marinos. Stenella attenuata fue la especie dominante (0,036 avistamientos km<sup>-1</sup>), cuyos individuos fueron encontrados distribuidos cerca de las costas de Jalisco y Colima (0-3mn), en aguas someras con una disponibilidad alta de nutrientes inorgánicos. El análisis de componentes principales mostró que la batimetría (99.6%) fue el principal parámetro que explica la varianza de los datos, por lo que podría potencialmente modular aspectos ecológicos de los mamíferos marinos.

Palabras clave: Estructuras dinámicas de mesoescala, clorofila-a, temperatura superficial del mar, batimetría, mamíferos marinos

**Abstract**.- The oceanographic conditions that could influence richness and distribution of marine mammal species in the coastal area of the Mexican Central Pacific (MCP) were investigated throughout 2011. Marine mammals observations were obtained from two research platforms. CTD casts were deployed down to 100 m, water samples were taken monthly at six stations for determination of organic nutrients, and another 15 stations were sampled over a wider area only during the winter. Satellite images from MODIS-AQUA L2 were used to obtain sea surface temperature (SST) and chlorophyll-*a* (Chl-*a*) values, likewise altimetry data to identify mesoscale dynamic structures in the region. High salinity values (34.6), low concentrations of nitrates and nitrites (~3-4  $\mu$ M), silicates (5-7  $\mu$ M), Chl-*a* (~10 mg m<sup>-3</sup>), and low SST (~23.5°C) were detected, as well as the presence of cyclonic gyres near the coast during the winter-spring period. This coincided with high marine mammal species richness in the area (from 5 to 9 species depending on spatial scale). The dominant species was *Megaptera novaeangliae* (0.092 sightings km<sup>-1</sup>), which was distributed close to the coast in shallow waters. Low salinity (32.8) and Chl-*a* values (0.1 mg m<sup>-3</sup>) were detected during the summer-fall period. High phosphate availability (2.5  $\mu$ M), presence of anticyclonic gyres, and high SST (~31°C) were also found, coinciding with low species richness (3 species). The dominant species was *Stenella attenuata* (0.036 sightings km<sup>-1</sup>); those individuals were found distributed near the Jalisco-Colima coast (0-3 nm) in shallow waters with high inorganic nutrient availability. The principal components analysis showed that bathymetry (99.6%) was the main parameter explaining data variance; this parameter could therefore potentially modulate ecological aspects of marine mammals.

Key words: Mesoscale dynamic structures, chlorophyll-a, sea surface temperature, bathymetry, marine mammals

## INTRODUCTION

Marine mammals are considered protected species under national and international agreements (e.g., Mexican Norm 059-SEMARNAT-2010<sup>1</sup> and IUCN red list<sup>2</sup>). They are pelagic animals with biological (breeding and feeding) and ecological (dispersion, distribution, migration, etc.) activities influenced by abiotic factors (Ballance et al. 2006, Laptikhovsky 2009, Rennie et al. 2009) such as the bathymetry, distance to the coast, thermocline depth, temperature, water clarity, primary productivity (chlorophyll-a), and food availability (Bräger et al. 2003, Hastie et al. 2003, Danil & Chivers 2006, Rasmussen et al. 2011). Physical water properties are modulated by several dynamic structures such as gyres (cyclonic and anticyclonic), upwellings, meanders, and filaments, among other systems that are called mesoscale dynamic structures (MDS), based on their size (1-100 km) (Talley et al. 2011). The intensity of MDS in the ocean depends in general on the gravity, density gradients, and wind effort, which produce the re-suspension or sinking of particles and organisms (Linacre et al. 2010). This allows the establishment of complex trophic webs that generate availability of potential prey (Torres-Orozco et al. 2005), leading to the aggregation of top predators such as marine mammals (Rennie et al. 2009).

Fourteen species of marine mammals have been reported (Ortega-Ortiz *et al.* 2013) in the coastal region of the Mexican Central Pacific (MCP), with high sighting rates of humpback whales (*Megaptera novaeangliae*) during winter, and of spotted dolphins (*Stenella attenuata*) throughout the year (Ortega-Ortiz *et al.* 2011). However, few studies have described the interaction between marine mammals and their environment in coastal and tropical areas such as the MCP region, where oceanographic parameters vary seasonally due to anthropogenic and continental influences as well as to the presence of storms (Salas *et al.* 2006). This particular zone is located within a dynamic transition zone that generates variability in physico-chemical parameters and the increase of the region's primary productivity (Galicia-Pérez *et al.* 2006, Kessler 2006, López-Sandoval *et al.* 2009).

Studies of the interaction between marine mammals and oceanographic parameters of the water column have suggested that MDS are the main factors influencing the presence of cetaceans in northwestern Mexico (La Paz Bay, B.C.S.), where water column mixing and the increase in chlorophyll-*a* (Chl-*a*) concentrations favor the aggregation of potential cetacean prey

(Salvadeo *et al.* 2009, Pardo *et al.* 2013). Given these characteristics and the fact that marine mammals are considered 'bio-indicators' because they are sensitive to environmental changes that modify their habitats and/or the distribution/ abundance of their potential prey (Moore 2008). Thus, we hypothesize that the typical variability of oceanographic conditions in the tropical region could influence the occurrence and distribution of marine mammal species, reflecting the environmental quality. The aim of this study was therefore to identify a potential relationship between the oceanographic conditions and the richness and distribution of marine mammal species in the coastal area of the MCP during 2011.

## **MATERIALS AND METHODS**

# STUDY AREA

This study was conducted mainly in the coastal area of Jalisco and Colima, Mexico (between 19°35' and 18°00'N, and 103°30' and 105°25'W), where the continental shelf (200 m isobaths) extends ~13 km from the coast (Fig. 1). The area is influenced by the California Current (CC) flowing southwards during the winter-spring period, and by the Mexican Coastal Current (MCC) flowing northwards during the summer-fall period, with the highest intensity during the fall season (Wyrtki 1967, Kessler 2006, Lavín *et al.* 2006a, Pantoja *et al.* 2012). Some authors have concluded that the topography and physiographic traits of the coastline promote the formation of MDS over the continental shelf in this region (Galicia-Pérez *et al.* 2006, Salas *et al.* 2006).

#### **OCEANOGRAPHIC PARAMETERS COLLECTION**

Due to the different effort in the surveys, we separated in two sampling categories: coastal surveys and a mesoscale survey, which covered a wider area.

#### COASTAL SURVEYS

Monthly samples were obtained at six stations located off the southern Jalisco and Colima coast during the periods of January-February and April-December 2011 from a 26 feet long outboard motor vessel. Three stations were located 1.8 km (1 nm) from the coast (coastal transect), and the other three were located 9.3 km (5 nm) from the coast (oceanic transect) (Fig. 1).

<sup>&</sup>lt;sup>1</sup>NORMA Oficial Mexicana NOM-059-SEMARNAT-2010. Protección ambiental-Especies nativas de México de flora y fauna silvestres-Categorías de riesgo y especificaciones para su inclusión, exclusión o cambio. Lista de especies en riesgo. <a href="http://www.profepa.gob.mx/">http://www.profepa.gob.mx/</a> innovaportal/file/435/1/NOM\_059\_SEMARNAT\_2010.pdf>

<sup>&</sup>lt;sup>2</sup>IUCN Global Species Programmed Red List Unit. The IUCN Red List of Threatened Species. IUCN, Cambridge. <a href="http://www.iucnredlist.org/">http://www.iucnredlist.org/</a>

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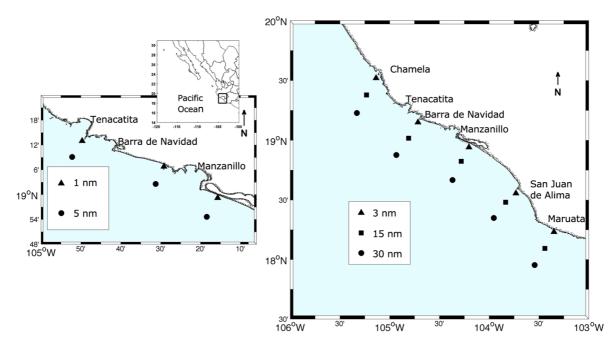


Figure 1. Location of the sampling stations within the Mexican Central Pacific. Stations sampled during surveys conducted from a small boat off the Jalisco-Colima coast (left). Stations sampled during the mesoescale survey during March 2011 (right) / Posición de las estaciones de muestreo en el Pacífico central mexicano. Estaciones muestreadas durante los cruceros realizados en embarcación pequeña en la costa de Jalisco-Colima (izquierda). Estaciones muestreadas durante el crucero de mesoescala durante marzo 2011 (derecha)

### MESOSCALE SURVEY

In order to corroborate a potential pattern in distribution-richness of marine mammals related with oceanographic parameters, during winter (at March), the expected period with a major rate of sighting of marine mammals (Ortega-Ortiz *et al.* 2013), a survey in a wider area was conducted using a 42 feet long vessel. Five transects were established parallel to the coast, each one comprising three stations located 5.5 km (3 nm, coastal), 28 km (15 nm, intermediate), and 56 km (30 nm, oceanic) from the coast (Fig. 1).

Oceanographic parameters that describe productivity/stability conditions through the water column, such as temperature, salinity, mixed layer depth, nutrients, and chlorophyll-*a*, were determined during coastal as well as mesoscale survey. CTD (Sontek CastAway) profiles were constructed down to a 100 m depth at the 21 sampling stations (coastal surveys and mesoscale survey). Water samples (100 ml) were taken at 0, 25, and 50 m depths using 5L Niskin bottles. These samples were frozen until later analysis of inorganic nutrient concentration (nitrates and nitrites, phosphates, and silicates); these analyses were carried out using a continuous flow auto-analyzer (Skalar San Plus), following methods proposed by Grasshoff *et al.* (1983). The mixed layer depth was calculated following methods proposed by Kara *et al.* (2000).

In addition, to describe oceanographic conditions in a wider scale for MCP region, level 2 images (~1 km and 1 day spatial/ time resolution) of sea surface temperature (SST) and Chl-a were obtained from the MODIS Aqua sensor (National Aeronautics and Space Administration)<sup>3</sup>. Monthly images with >70% coverage of SST and Chl-a concentration were later extracted to identify mesoscale dynamic structures in the MCP region. Altimetry data from the AVISO (Aviso User Service, France)<sup>4</sup> program were also processed to identify circulation patterns (with 1/3° resolution) in the area to analyze the satellite data in integrated plots using ®MATLAB ver. 7.11 software (The MathWorks, Inc.). It was additional information to use as reference by integrating with the coastal information. The statistical analysis was also performed using ®MATLAB ver. 7.11 software. Data did not have a normal distribution, and the non-parametric tests Kruskal-Wallis (H) and Dunn (Q) were therefore used with a 0.05 significance level.

<sup>&</sup>lt;sup>3</sup>NASA, Gene C. Feldman.<https://oceancolor.gsfc.nasa.gov/data/aqua/> <sup>4</sup><http://www.aviso.altimetry.fr/en/data.html>

#### **MARINE MAMMAL SIGHTINGS**

A path was surveyed between sampling stations during the coastal and mesoscale surveys to record marine mammal sightings, at a speed between 7 and 9 knots. Sightings were detected by three observers (at the bow, port, and starboard) using Fujinon® 7x50 binoculars. The geographical position, species, and number of animals of each sighting were recorded. The unit effort was estimated as the total distance travelled (km) during which marine mammals were searched for. Marine mammal sightings were standardized by calculating the sighting rate (SR) with the following equation:

$$SR = n / e$$

where *n* is the number of sightings and *e* is the effort in kilometers (Kiszka *et al.* 2007). The sighting rate during coastal surveys was calculated for a) each season (winter: from January to February, spring: from April to June, summer: from July to September, and fall: from October to December), and for b) each month during 2011. The sighting rate during the mesoscale survey was additional information to integrate with the coastal information to carry out an exhaustive discussion about our results.

#### **R**ELATIONSHIP BETWEEN OCEANOGRAPHIC PARAMETERS AND DISTRIBUTION-RICHNESS OF MARINE MAMMALS

In order to identify a potential relationship between marine mammals and oceanographic data a principal components analysis (PCA) was used, following the method proposed by Clarke & Warwick (2001). The marine mammal data used for these analyses were only the most frequently recorded species in the region (Ortega-Ortiz *et al.* 2011, 2013).

#### RESULTS

# SEA SURFACE TEMPERATURE AND SALINITY DURING COASTAL SURVEYS

Sea surface temperature (SST) varied significantly between seasons ( $H_{66,3}$ = 37.83, P < 0.001). Minimum values (23.5°C) were recorded during the winter-spring period, and maximum values (31.1°C) were recorded during the summer season, with temperatures decreasing (23.9°C) in the middle of the fall season (Table 1). Spatial variation of temperature, at the surface as well as at 50 m depth, was minimal (~1°C) during winter-spring ( $H_{30,5}$ = 0.95, P > 0.001); but warmer temperatures (27.5°C) were recorded at the surface at the southern oceanic station (Table 2). Spatial variation of temperature was also minimal ( $H_{36,5}$ = 0.62, P > 0.001) during the summer-fall period, with a maximum value (29.2°C) at the surface at the intermediate oceanic station (Table 2).

Salinity varied significantly between seasons ( $H_{66,3}$ = 25.58, P < 0.001), and had opposite patterns to Temperature: with maximum values of 34.6 occurring during the winter-spring period, and decreasing to minimum values of 32.8 during the summer-fall period (Table 1). Spatial variation of salinity was low (0.3) ( $H_{66,5}$ = 0.84, P > 0.001) during the winter-spring and summer-fall periods (0.5). The lowest salinity (33.4) was recorded at the surface at the coastal southern station, whereas the highest salinity (33.9) was recorded at the surface at the oceanic northern station (Table 2).

Table 1. Seasonal variability of oceanographic parameters and mixed layer depth obtained from coastal surveys in the Mexican
Central Pacific during 2011. Mean and Rank values for temperature are included / Variación estacional de parámetros oceanográficos
y capa de mezcla obtenidos de los cruceros costeros en el Pacífico central mexicano durante el 2011. Se incluyen valores medios
y rango de la temperatura

	Wi	inter	Spring				Summer		Fall		
	Jan	Feb	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Temperature (°C)	24.4	25.2	26.0	29.1	29.70	30.0	29.77	30.7	29.7	26.3	27.3
Temperature (C)	(23.5-25.3)	(24.2-26.6)	(25-27.1)	(28-29.5)	(29.1-30.3)	(29.8-30.2)	)(29.4-30.2)	(30.4-31.1)	(29.3-30.1)	(23.9-27.8)	(26.3-27.6)
Salinity	34.3	34.2	34.5	34.6	33.6	33.0	33.4	33.5	32.8	34.4	34.3
$NO_3^- + NO_2^- (\mu M)$	4.7	4.6	4.3	3.1	4.0	4.2	4.3	6.3	3.6	3.8	8.4
$PO_4^{-3}(\mu M)$	1.4	1.0	0.9	0.7	0.7	1.1	0.6	2.2	2.4	2.5	1.0
$SiO_2(\mu M)$	6.6	7.4	6.7	5.9	5.2	4.6	5.1	7.2	7.3	4.6	8.2
Mean mixed layer depth (m)	7	6	5	20	23	18	32	22	10	5	13

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Table 2. Spatial variability of oceanographic parameters obtained from coastal surveys in the Mexican Central Pacific during the cold (winter-spring) and warm periods (summer-fall) of 2011. Mean and rank values for temperature are included. Coast= coastal station. Ocean= oceanic station. 1= Southern station. 2= Intermediate station. 3= Northern station (Left map from Fig. 1) / Variación estacional de parámetros oceanográficos obtenidos de los cruceros costeros en el Pacífico central mexicano durante los periodos frío (invierno-primavera) y cálido (verano-otoño) de 2011. Se incluyen valores medios y rango de la temperatura. Coast= estaciones costeras. Ocean= estaciones oceánicas. 1= estaciones del sur. 2= estaciones intermedias. 3= estaciones del norte (mapa a la izquierda de la Fig. 1)

		Coast 1			Coast 2			Coast 3			Ocean 1			Ocean 2			Ocean 3	
Winter-Spring period	1																	
Depth (m)	0	25	50	0	25	50	0	25	50	0	25	50	0	25	50	0	25	50
Temperature (°C)	26.6 (23.8-29.8)	22.8 (17.6-28.7)	19.2 (15.8-25.3)	26.8 (24.1-30)	23.4 (17.9-29.5)	20.6 (14.4-29.4)	26.3 (23.5-29.6)	22.3 (15.8-29.2)	20 (14.6-28.1)	27.5 (24.7-30.3)	23.5 (19.7-30.1)	19.3 (16.4-23.1)	27 (25.4-29.3)	23.4 (18.7-29.6)	20 (15.9-27.7)	27.3 (25.1-29.5)	24.1 (20.3-29.3)	20.4 (16-28.9
Salinity	34.4	34.4	34.4	34.1	34.2	34.3	34.1	34.3	34.4	34.4	34.4	34.5	34.2	34.3	34.5	34.2	34.3	34.4
$NO_3^{*} + NO_2^{*}\left(\mu M\right)$	3.3	6.7	10.6	5.1	7.8	10.5	4.4	6.7	10.8	4.9	7.0	12.6	2.7	6.9	12.4	4.8	6.5	12.5
$PO_4^{-3}(\mu M)$	1	1.6	1.9	1	1.6	1.9	0.8	1.1	2	1.3	1.5	2	0.9	1.2	1.6	0.9	1.4	2
$SiO_2(\mu M)$	6.7	9	18.1	5.1	9	17.9	6.7	10.2	18	7.1	9.9	18	5.8	9.4	16.9	7	8.1	17
Summer-Fall period																		
Depth (m)	0	25	50	0	25	50	0	25	50	0	25	50	0	25	50	0	25	50
Temperature (°C)	29.1 (27.2-30.5)	26.3 (22-30.2)	20 (16.3-29.3)	28.7 (25.8-31.1)	27.4 (23.3-30.3)	20.1 (15.7-29.1)	27.9 (23.9-30.4)	26.1 (20.8-30)	20 (15.9-25.3)	29.1 (27.6-30.7)	26.2 (19-30)	21.3 (16.2-27.8)	29.2 (25.4-29.3)	25.7 (19.7-30)	20.5 (15.9-28.5)	28.5 (25.2-30.6)	26 (21.1-30.3)	20.7 (15.5-26.9
Salinity	33.4	33.9	34.4	33.7	34	34.3	33.7	34.1	34.6	33.8	34	34.5	33.7	34.1	34.5	33.9	34.2	34.6
$NO_3^{-} + NO_2^{-} \left(\mu M\right)$	5	8.2	17.2	5.2	8.7	17.2	7.1	7.6	14	5.3	8.4	16.9	4.4	8.4	18	4.8	8.3	16.6
$PO_4^{-3}(\mu M)$	1.6	2	2.5	1.9	2.1	2.6	2.1	2.6	2.9	1.7	2.5	2.9	1.6	1.8	2.9	1.6	2.4	2.7
$SiO_2(\mu M)$	6.6	9	18.1	5.9	9.3	18.6	8.6	8.3	16.2	5.9	9.1	18.2	6.1	8.7	17.8	6	9.7	18.3

#### NUTRIENTS DURING COASTAL SURVEYS

Low concentrations of nitrates and nitrites (range: from 3.1 to 4.7  $\mu$ M), phosphates (range: from 0.7 to 1.4  $\mu$ M), and silicates (range: from 5.2 to 7.4  $\mu$ M) (Table 1) were recorded during the winter-spring period. Nutrient concentrations were higher during the summer-fall period (range: from 3.6 to 8.4  $\mu$ M); phosphate concentrations ranged between 0.6 and 2.5  $\mu$ M, and silicate concentrations ranged between 4.6 and 8.2  $\mu$ M (Table 1).

The spatial variation of nutrients was not statistically significant ( $H_{30.5}$ = 3.49, *P* > 0.001) during winter-spring; however, maximum values of nitrate and nitrite (12.5-12.6 µM) were measured at the northern and southern oceanic stations. There was no spatial variability in the phosphate and silicate concentrations during this period; values were similar between stations and depths (Table 2).

There was no spatial variability ( $H_{36,5}$ = 1.19, P > 0.001) during the summer-fall period; however, high concentrations of mainly nitrates, nitrites, and phosphates were recorded at the coastal northern station at almost all sampled depths (Table 2).

#### MIXED LAYER DURING COASTAL SURVEYS

The water column was stratified with a well-defined mixed layer (ML) in the upper 10 m during winter and at the beginning of the spring season. The ML deepened to 20 and 23 m around the middle of the spring season (Table 1). The ML deepened to 18 m at the beginning of the summer season, then deepened further to 32 m at the middle of the season, and shoaled to 22 m at the end of this same season. The ML was thinner during

the fall season, decreasing at a depth of 10 m; by the end of the fall season the ML was situated at 13 m depth, and the water column was again stratified (Table 1).

# **O**CEANOGRAPHIC PARAMETERS DURING THE MESOSCALE SURVEY

The sea surface temperature did not vary significantly between the coastal (range: 25.4-27.5°C), intermediate (range: 23.6-27.8°C), and oceanic stations (range: 24.8-26.6°C) during the mesoscale survey; this pattern was similar at 25 and 50 m depth. Salinity values were similar at all stations, and nutrients did not show spatial differences, only silicates had higher values (28-33.1  $\mu$ M) at the coastal stations, mainly at 25 m depth (33.1  $\mu$ M) (Table 3). The water column was stratified and with a welldefined mixed layer (ML) in the upper 15 m.

# SEA SURFACE TEMPERATURE, CHLOROPHYLL-A, AND ALTIMETRY IN THE STUDY AREA

A SST of 22°C and Chl-*a* concentrations of 10 mg m<sup>-3</sup> were recorded near the coast during the winter-spring period in the northern part of the study area (Figs. 2 and 4). Similar values were also recorded in the oceanic zone, where a cyclonic gyre was observed (Fig. 2a). By the middle of the winter-spring period the circulation pattern changed from south to north, warm waters were recorded (31°C) (Fig. 2e), and the Chl-*a* concentration decreased to 1 mg m<sup>-3</sup> (Fig. 4e).

During the summer-fall period the circulation pattern consisted in water flow towards the northwest, with temperatures of 31°C and a minimal Chl-*a* concentration of ~0.1 mg m<sup>-3</sup>

Table 3. Spatial variability of oceanographic parameters obtained from the mesoscale survey (March 2011) in the Mexican Central Pacific. Number 1 refer the station in the most southern position and 5 is for the station in the most northern position (right map from Fig. 1) / Variación estacional de parámetros oceanográficos obtenidos en el crucero de mesoescala (marzo 2011) en el Pacífico central mexicano. El número 1 se refiere a la estación en la posición más sureña y el 5 para la estación en la posición más norteña (mapa a la derecha de la Fig. 1)

Station/ Depth	Temperature (°C)			:	Salinity			Nitrates and nitrites (µM)			Phosphates (µM)			Silicates (µM)		
(m)	0	25	50	0	25	50	0	25	50	0	25	50	0	25	50	depth (m)
Coastal 1	25.5	18.8	15.3	34.4	34.6	34.8	4.5	7.8	10.1	0.9	0.9	1.4	10.9	14.7	32.3	7
Coastal 2	27.1	18.8	15.7	34.6	34.6	34.8	6.7	9.9	8.2	0.6	2.1	1.0	10.0	19.0	10.6	10
Coastal 3	27.5	19.5	16.3	34.6	34.6	34.8	5.1	9.3	9.6	0.5	1.2	1.3	10.8	20.7	21.1	5
Coastal 4	27.3	19.6	15.5	34.7	34.7	34.9	9.9	10.1	10.1	0.8	1.4	1.7	28.0	33.1	31.8	14
Coastal 5	25.4	19.8	17.1	34.6	34.6	34.7	2.1	8.6	8.5	1.0	1.7	1.3	4.1	7.6	11.1	3
Intermediate 1	27.5	20.6	15.2	34.6	34.6	34.9	3.9	6.4	9.5	0.7	0.9	2.6	7.0	4.9	12.4	4
Intermediate 2	27.8	20.1	16.6	34.5	34.6	34.8	9.7	10.1	10.0	0.8	1.5	1.4	12.7	22.9	23.1	11
Intermediate 3	26.7	21.3	16.0	34.6	34.6	34.8	9.7	10.1	10.1	1.5	1.7	1.7	13.1	23.4	23.1	4
Intermediate 4	23.6	18.7	17.3	34.6	34.6	34.7	0.4	8.5	9.2	1.3	1.3	1.1	1.2	5.1	9.4	2
Intermediate 5	27.8	18.0	15.6	34.5	34.8	34.9	2.1	9.2	9.9	1.4	1.5	2.4	3.0	7.2	11.7	10
Oceanic 1	25.8	20.7	15.9	34.3	34.3	34.5	2.8	8.8	9.6	0.4	1.1	1.4	8.9	8.01	16.0	7
Oceanic 2	26.6	18.1	15.4	34.5	34.6	34.7	3.9	8.1	9.1	3.3	2.5	2.3	5.5	9.19	11.9	2
Oceanic 3	26.0	19.9	17.2	34.5	34.5	34.7	2.0	9.3	9.5	0.5	1.4	1.3	4.1	11	11.0	13
Oceanic 4	24.8	20.1	16.1	34.5	34.5	34.7	9.9	9.9	9.2	0.9	0.9	1.0	12.5	12.8	8.9	11
Oceanic 5	25.7	22.0	18.1	34.5	34.5	34.6	0.7	6.5	9.0	0.6	1.2	1.3	1.3	4.97	8.6	1

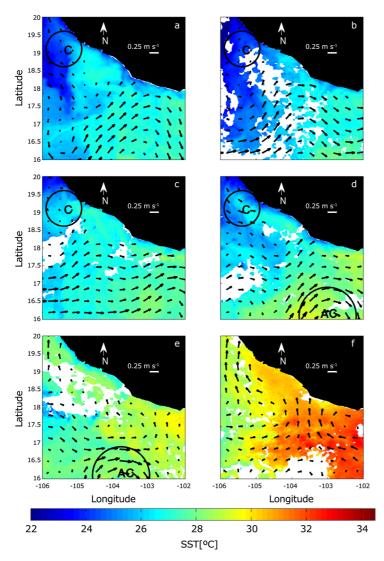


Figure 2. Sea surface temperature recorded by MODIS-Aqua off the Mexican Central Pacific coast during: (a) January, (b) February, (c) March, (d) April, (e) May and (f) June 2011. The arrows indicate the pattern of oceanic geostrophic currents, and the circles show cyclonic/anticyclonic gyres (white pixels denote unavailable data due to cloud cover) / Temperatura superficial del mar obtenida de MODIS-Aqua en la costa del Pacífico central mexicano durante: (a) enero, (b) febrero, (c) marzo, (d) abril, (e) mayo y (f) junio 2011. Las flechas indican el patrón de las corrientes geostróficas, los círculos muestran los giros ciclónicos/anticiclónicos (pixeles en blanco denotan indisponibilidad de datos debido a cobertura de nubes)

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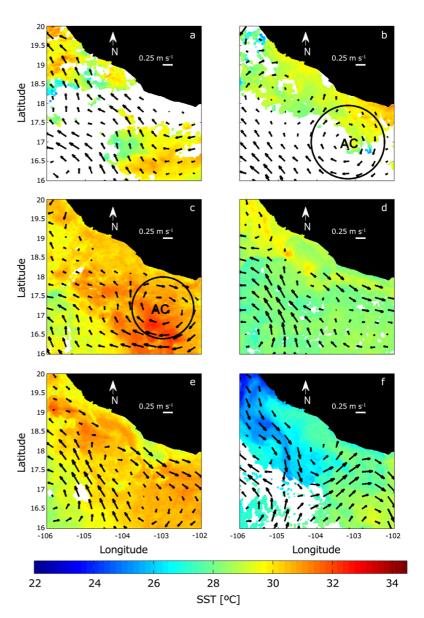


Figure 3. Sea surface temperature recorded by MODIS-Aqua off the Mexican Central Pacific coast during: (a) July, (b) August, (c) September, (d) October, (e) November and (f) December 2011. The arrows indicate the pattern of oceanic geostrophic currents, and the circles show cyclonic/anticyclonic gyres (white pixels denote unavailable data due to cloud cover) / Temperatura superficial del mar obtenida de MODIS-Aqua en la costa del Pacífico central mexicano durante: (a) julio, (b) agosto, (c) septiembre, (d) octubre, (e) noviembre y (f) diciembre 2011. Las flechas indican el patrón de las corrientes geostróficas, los círculos muestran los giros ciclónicos/anticiclónicos (pixeles en blanco denotan indisponibilidad de datos debido a cobertura de nubes)

over the entire area. Anticyclonic gyres were also observed moving towards the northwest (Figs. 3a and 5a). By the middle of the summer-fall period the geostrophic circulation changed towards the southeast; however, flow direction in the oceanic zone was towards the northwest (Figs. 3b and 5b). The SST oscillated between 30 and 31°C, and there were Chl-*a* concentrations of ~3 mg m<sup>-3</sup> near the coast (Fig. 5c). Towards the end of this period there was a cold water intrusion (24°C) at the northern area, and the circulation pattern consisted in a southeast flow. There were Chl-*a* concentrations of ~0.1 mg m<sup>-3</sup> near the coast (Figs. 3f and 5f). MARINE MAMMAL SPECIES RICHNESS AND DISTRIBUTION

Seven marine mammal species were recorded in 188 sightings during coastal surveys. Only four species in 110 sightings occurred during winter season, for a sighting rate of 0.106 sightings km<sup>-1</sup>. This rate was significantly different from that obtained during the other seasons (spring:  $Q_{11,3}$ =2.58, summer:  $Q_{11,3}$ =1.92, fall:  $Q_{11,3}$ =0.93; *P*<0.05). The species recorded during winter coastal surveys were: *Megaptera novaeangliae*, *Stenella attenuata*, *Steno bredanensis*, and *Stenella longirostris* (Table 4). The first two species were distributed mainly along the continental shelf edge, and within the bays of Manzanillo (Fig. 6).

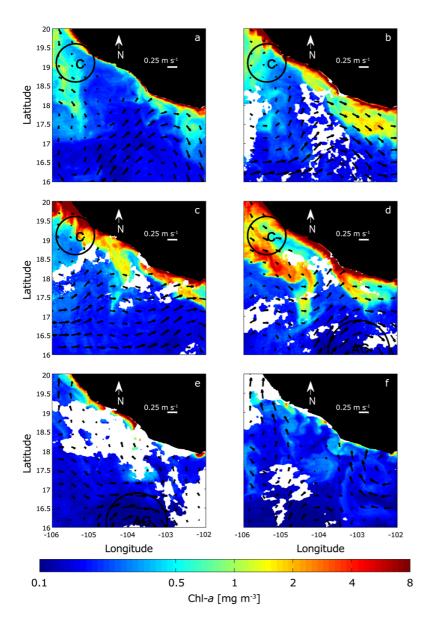


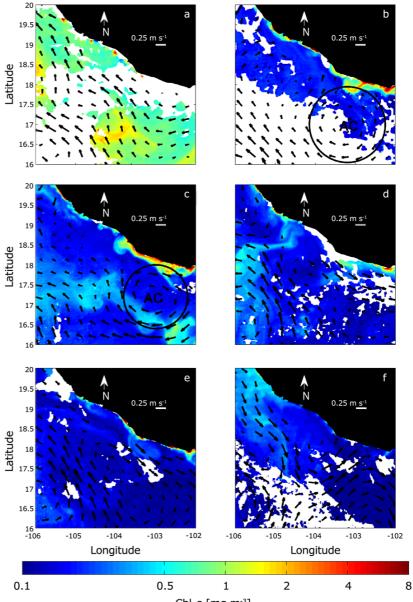
Figure 4. Chlorophyll-a concentration recorded by MODIS-Aqua off the Mexican Central Pacific coast during (a) January, (b) February, (c) March, (d) April, (e) May and (f) June 2011. The arrows indicate the pattern of oceanic geostrophic currents, and the circles show cyclonic/anticyclonic gyres (white pixels denote unavailable data due to cloud cover) / Concentración de Clorofila-a obtenida de MODIS-Aqua en la costa del Pacífico central mexicano durante: (a) enero, (b) febrero, (c) marzo, (d) abril, (e) mayo y (f) junio 2011. Las flechas indican el patrón de las corrientes geostróficas, los círculos muestran los giros ciclónicos/ anticiclónicos (pixeles en blanco denotan indisponibilidad de datos debido a cobertura de nubes)

A total of 14 sightings corresponding to five species were recorded in the spring season, with a sighting rate of 0.025 sightings km<sup>-1</sup> (Table 4). The following species were identified: *M. novaeangliae, S. attenuata, S. longirostris, Balaenoptera edeni*, and *T. truncatus*. Individuals for first 4 species were sighted mainly near the coast, whereas *T. truncatus* individuals were sighted within Tenacatita Bay in Jalisco (Fig. 6). *Balaenoptera edeni* was observed only once and therefore it was not possible to calculate a sighting rate (Table 4).

Three species in 19 sightings were recorded during the summer, with a rate of 0.025 sightings km<sup>-1</sup> (Table 4). *Stenella* 

*attenuata* and *S. bredanensis* were sighted at the continental shelf limit near the coast, whereas *T. truncatus* was sighted only in the same bay of Jalisco.

A total of 45 sightings corresponding to 5 species were recorded during the fall, with a rate of 0.047 sightings km<sup>-1</sup> (Table 4). The following species were recorded: *S. attenuata*, *S. bredanensis*, *T. truncatus*, *M. novaeangliae*, and *Z. californianus*; this last species was observed only once and therefore it was not possible to calculate a sighting rate. All species were sighted near the coast of Colima, and only *T. truncatus* was sighted inside Tenacatita Bay (Fig. 6).



Chl-a [mg m-3]

(d) October, (e) November and (f) December 2011. The arrows indicate the pattern of oceanic geostrophic currents, and the circles show cyclonic/anticyclonic gyres (white pixels denote unavailable data due to cloud cover) / Concentración de Clorofila-a obtenida de MODIS-Aqua en la costa del Pacífico central mexicano durante: (a) julio, (b) agosto, (c) septiembre, (d) octubre, (e) noviembre y (f) diciembre 2011. Las flechas indican el patrón de las corrientes geostróficas, los círculos muestran los giros ciclónicos/anticiclónicos (pixeles en blanco denotan indisponibilidad de datos debido a cobertura de nubes)

Figure 5. Chlorophyll-a concentration recorded by MODIS-Aqua off the Mexican Central Pacific coast during (a) July, (b) August, (c) September,

Furthermore, nine marine mammal species were recorded during the moesoscale survey conducted in March 2011, including the seven species recorded during coastal surveys as well as *Grampus griseus* and *Kogia* spp. Only once *Balaenoptera edeni* was observed, and a sighting rate was therefore not calculated for this species. The most sighted species were *M. novaeangliae* (0.012 sightings km<sup>-1</sup>) and *S. attenuata* (0.003 sightings km<sup>-1</sup>) (Table 5). Most *M. novaeangliae* and *S. attenuata* sightings occurred near the coast, where SST values were higher than 27°C. *Grampus griseus* and *Kogia* spp. individuals were sighted at the continental shelf edge, where SST was decreasing (26°C); whereas *S. longirostris, S. bredanensis,* and *Z. californianus* individuals were sighted towards the north near the coast in front of a cold current (24°C) flowing perpendicular to the mainland. Finally, *T. truncatus* individuals were sighted within Tenacatita Bay (Fig. 7).

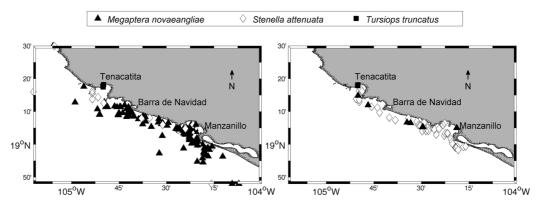


Figure 6. Location of the most frequent marine mammal species into the coastal zone of Mexican Central Pacific during winter-spring (left) and summer-fall (right). Black triangle: *Megaptera novaeangliae*. White diamond: *Stenella attenuata*. Black square: *Tursiops truncatus /* Localización de las especies de mamíferos marinos más frecuentes en la zona costera del Pacífico central mexicano durante invierno-primavera (izquierda) y verano-otoño (derecha). Triángulo negro: *Megaptera novaeangliae*. Diamante blanco: *Stenella attenuata*. Cuadrado negro: *Tursiops truncatus* 

Table 4. Sighting rates (SR) of marine mammal species calculated based on surveys conducted from a small boat off the Jalisco-Colima coast during 2011. Total search effort is also included / Rangos de avistamiento (SR) de especies de mamíferos marinos calculados en base a los cruceros en las embarcaciones pequeñas en la costa de Jalisco-Colima durante el 2011. El esfuerzo total también es incluido

	Winter	Spring	Summer	Fall	Total SR
Megaptera novaeangliae	0.092	0.009	-	0.007	0.029
Steno bredanensis	0.006	-	0.003	0.002	0.003
Stenella attenuata	0.007	0.009	0.020	0.036	0.019
Stenella longirostris	0.001	0.002	-	-	0.001
Tursiops truncatus	-	0.004	0.003	0.001	0.002
Balaenoptera edeni	-	0	-	-	-
Zalophus californianus	-	-	-	0	-
Total SR	0.106	0.025	0.025	0.047	0.054
Effort (km)	859.6	560.0	758.0	934.8	3,112.6

# **R**ELATIONSHIP BETWEEN OCEANOGRAPHIC CONDITIONS AND RICHNESS-DISTRIBUTION OF MARINE MAMMAL SPECIES

The PCA analyses based on information from coastal surveys generated two principal components. The first was associated with the bathymetry, which explained 99.63% of total variance, and the second was associated with Chl-*a* concentration, which explained 0.20% of total variance (Fig. 8-left), suggesting that the first component was dominant. A similar result was obtained with the PCA based on information from the mesoscale survey. Two principal components were generated, one of which was associated with bathymetry, and explained 99.5% of the data variance (Fig. 8-right).

Table 5. Sighting rates (SR) of marine mammal species calculated based on the mesoscale survey (March 2011) in the Mexican Central Pacific region. Total search effort is also included / Rangos de avistamiento (SR) de especies de mamíferos marinos calculados en base al crucero de mesoescala (marzo 2011) en el Pacífico central mexicano. El esfuerzo total también es incluido

Species	SR
Megaptera novaeangliae	0.012
Steno bredanensis	0.001
Stenella attenuata	0.003
Stenella longirostris	0.001
Tursiops truncatus	0.001
Kogia spp.	0.001
Grampus griseus	0.002
Zalophus californianus	0.001
Balaenoptera edeni	-
Total SR	0.023
Effort (km)	1,890.8

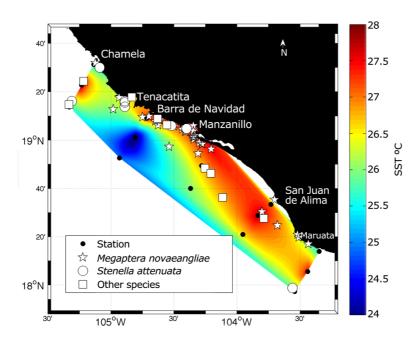


Figure 7. Sea surface temperature and marine mammal distribution observed during the mesoscale survey in March 2011. Other species (*S. longirostris, Steno bredanensis, Grampus griseus, Kogia* spp., *Tursiops truncatus, Zalophus californianus*) / Distribución de la temperatura superficial y de mamíferos marinos observada durante el crucero mesoescala en marzo 2011. Otras especies (*S. longirostris, Steno bredanensis, Grampus griseus, Kogia* spp., *Tursiops truncatus, Zalophus californianus*)

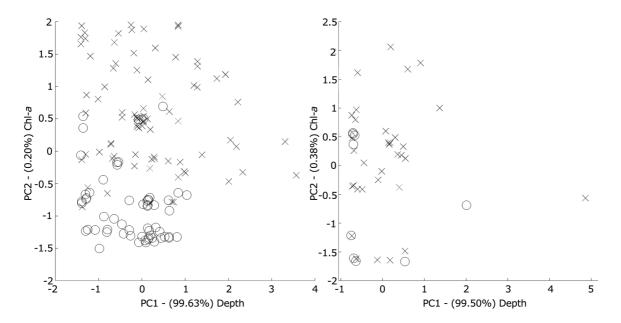


Figure 8. Principal component analysis (PCA) of the oceanographic parameters and the dominant marine mammal species during coastal surveys (left) and the mesoscale survey (right). Crosses: *Megaptera novaeangliae*. Circles: *Stenella attenuata /* Análisis de componentes principales (ACP) de los parámetros oceanográficos y las especies dominantes de mamíferos marinos durante los cruceros costeros (izquierda) y el crucero de mesoescala (derecha). Cruces: *Megaptera novaeangliae* y Círculos: *Stenella attenuata* 

# DISCUSSION

Oceanographic conditions were similar during winter and spring, and during summer and fall 2011, so that two periods could be defined in the MCP: cold (winter-spring) and warm (summerfall). This oceanographic pattern has been previously described by Filonov *et al.* (2000). Moreover, this pattern could describe a relationship between habitat characteristics and the distribution of the most frequent (>30 sightings) cetacean species in the MCP region: *M. novaeangliae* and *S. attenuata* (Ortega-Ortiz *et al.* 2011), which had been no described before.

# OCEANOGRAPHIC CONDITIONS AND RICHNESS-DISTRIBUTION OF MARINE MAMMAL SPECIES DURING THE COLD PERIOD (WINTER-SPRING)

The presence of the CC was observed during this period, with cold waters (<22°C) flowing from the north. The influence of the CC has been reported in this area by several authors (Fiedler & Talley 2006, Lavín et al. 2006b, López-Sandoval et al. 2009). The SST images and geostrophic currents indicated several MDS, mainly cyclonic gyres (Figs. 2 and 4), which had previously been reported as the main fertilizing processes propagating near the continental shelf (200 m) in this region of the MCP (Salas et al. 2006, Pantoja et al. 2012). The MDS could have resulted in the lifting/sinking (~20 m) of isotherms, allowing cold-water intrusion towards the surface, as has been described by some authors (e.g., Torres-Orozco et al. 2005, Salas et al. 2006, López-Sandoval et al. 2009). Although gyres could not be clearly identified by AVISO data within the study area (due to the 1/3° data resolution); however, the SST and Chl-a satellite data were useful to identified some of these structures that have been described during the entire year. They were formed as the result of the interactions among continental inputs, coastal circulation, and marine topography (Galicia-Pérez et al. 2006, Salas et al. 2006).

The MDS have been reported to affect characteristics of the water column such as mixed layer depth and inorganic nutrient distribution (Arístegui *et al.* 2003, Salas *et al.* 2006, Rennie *et al.* 2009). The main forcing agents that could generate variations in the mixed layer depth are differences in wind intensity, and differences in current intensity (such as the CC and MCC), as has been reported by Linacre *et al.* (2010). However, given that there are no data on wind intensity in the study area for 2011, we hypothesize that wind intensity was probably low, and therefore a mixed layer was formed at ~10m depth. Inorganic nutrient concentrations were high below the mixed layer (25 and 50 m depth, Table 2), which could have resulted from transport (gyres and upwellings) towards the subsurface (Martin & Richards 2001), and from variations in nutrient concentrations resulting from phytoplankton consumption (Falkowski 1997). The high Chl-*a* values recorded suggest a fertilization event in the area, which could have been caused by the shoaling of the thermocline associated with cyclonic gyres (Fig. 4). These results coincide with those reported by López-Sandoval *et al.* (2009), who characterized this period as productive because that is when maximum values of primary productivity and Chl-*a* occur, and it is also when sunlight and oceanographic conditions are favorable for phytoplankton growth.

Another factor that could have influenced the presence of cold waters in the northern part of the study region (Fig. 2a-d) is the occurrence of upwelling events such as those that have been reported near Cabo Corrientes during the winter-spring period (Filonov et al. 2000, Torres-Orozco et al. 2005, Kessler 2006, López-Sandoval et al. 2009). The NOAA upwelling index<sup>5</sup> for this period suggests an upwelling event, with cold water being transported by winds and marine currents from the northwest, as has been described by Fiedler & Talley (2006). Additionally, the already-mentioned geostrophic circulation pattern could have favored the formation of gyres in the study area. These gyres could have had repercussions on nutrient and Chl-a concentrations, as was explained previously. This pattern was observed in the SST and Chl-a images, with concentrations above 10 mg m<sup>-3</sup>near the coast, coinciding with high nutrient values (>20 µM) (Figs. 2 and 4).

The species richness recorded during winter-spring period in coastal surveys was relatively constant; however, a higher richness was recorded during the mesoscale survey possibly because a wider region was surveyed and species with oceanic habits were also sighted (*i.e.*, *Kogia* spp. and *Grampus griseus*). Some of these species have also been associated with template environments (*i.e.*, *Stenella longirostris*, *Kogia* spp. and *Zalophus californianus*) due to the temperature effects on prey distribution (Davis *et al.* 2002), and therefore no sightings would be expected during other periods.

The sighting rate calculated based on coastal surveys compared with the sighting rate obtained based on data from the mesoscale survey constitutes evidence that the coastal area is the most important habitat for the distribution of these species, mainly for *M. novaeangliae*, the most sighted species during the winter season (Table 4).

<sup>5</sup><ftp://orpheus.pfeg.noaa.gov/outgoing/upwell/monthly/upindex.mon>

Studies on *M. novaeangliae* distribution report that this species uses areas with similar characteristics to those of the MCP to carry out breeding and calving activities, because warm temperatures (~28°C) allow calves to thermoregulate, and the presence of semi-enclosed coastal bodies and shallow depths provide calves with protection from predators (Calambokidis *et al.* 2001, Rasmussen *et al.* 2011).

The oceanographic conditions recorded during this study suggest that several potential cetacean prey (zooplankton, fish, squid, among others) could have been transported to the area (Franco-Gordo *et al.* 2004, León-Chávez *et al.* 2010). *Megaptera novaeangliae* individuals could have been feeding opportunistically in the area, as has already been reported for nearby regions such as the Gulf of California (Gendron & Urbán 1993) and the Oaxaca coast (Villegas-Zurita & Castillejos-Moguel 2013). However, the most commonly recorded behavioral activities of this species in the region have been associated with breeding (García-Valencia 2016).

The second most frequently sighted species during the cold period was *S. attenuata*. This species was distributed near the coast, which resulted in a high correlation between its density and bathymetry. It has been reported that this species has an affinity for tropical waters with a deep thermocline (~40 m) (Reilly 1990) and is distributed in areas with shallow depths that are used for resting, feeding, and finding refuge (Forcada 2002), as was seen in the present study.

The sighting rates of other odontocete species were lower, indicating that their presence off the Jalisco and Colima coast could be associated with ecological aspects similar to those affecting *S. attenuata*. It is also possible that these species use the region as a transit area on their way to other regions of the Pacific Ocean with the exception of *T. truncatus*, which were observed in Tenacatita Bay, during all sampling period. Even, repeated observations on the same individuals suggesting that this could be a resident pod (Rossbach & Herzing 1999). This is a common characteristic for this species that had been reported in other sites (*e.g.*, Sellas *et al.* 2005, Galindo 2007, Vázquez-Castán *et al.* 2007, Martínez-Serrano *et al.* 2011). However, similar survey effort is needed during other periods to explain the ecology of these species.

# **O**CEANOGRAPHIC CONDITIONS AND DISTRIBUTION-RICHNESS OF MARINE MAMMAL SPECIES DURING THE WARM PERIOD (SUMMER-FALL)

The oceanographic conditions during this period could have been due to an increase in solar radiation and to the advection of heat from the MCC in the equatorial region (Filonov *et al.* 2000). This pattern is caused by a weakening of the northwesterly winds; this allows the MCC to flow northwards, transporting warm waters (29-30°C) from the North Equatorial Current (*e.g.*, TPSW) (Wyrtki 1967) and unproductive waters from the Gulf of Tehuantepec (Gonzalez-Silvera *et al.* 2004, Fiedler & Talley 2006, Kessler 2006). The MCC created MDS which could be seen in the SST and Chl-*a* images (Figs. 2 and 3), and influenced the availability of these parameters.

The temperature was homogeneous along the water column due to the presence of anticyclonic gyres. These oceanographic systems resulted in the sinking of isotherms, and in the mixing of the upper meters of the water column (Arístegui et al. 2003). The detection of gyres near the coast was difficult due to the resolution of geostrophic data (~20 km). On the other hand, the presence of internal waves, which have been reported in this area (Filonov et al. 2000) near the continental shelf, could have resulted in the sinking of isotherms and could have contributed in this way to a non-stratified water column near the coast. The low salinity values recorded in the study area during the warm period are related to continental discharge from the coastal zone (Olivos-Ortiz et al. 2008). The rainy season occurs between June and October; this is the period when tropical storms pass through the area (Servicio Meteorológico Nacional, México)6.

The variations in nutrient and Chl-a concentrations during this period could have been influenced by the already-mentioned temperature increase (up to 30°C at the surface) associated with the transport of less productive waters. Temperature is an abiotic factor that is crucial for phytoplankton growth and nutrient assimilation. Values above 28°C inhibit phytoplankton growth (Rhee & Gothan 1981, Neori & Holm-Hansen 1982). This suggests that low Chl-a concentrations were due to low primary productivity caused by the temperature increase, which coincides with what was reported by López-Sandoval et al. (2009), who found low primary productivity associated with warm tropical waters such as the TPSW during this period. Nutrient concentrations, mainly of phosphates, were high (>2 µM) during the middle of the warm period (Table 1). This was linked to the beginning of the rainy season, when continental inputs contain higher nutrient concentrations, and partly to the vertical flow from the bottom to the subsurface due to the passage of storms and hurricanes (such as 'Jova', Lujano-Bravo & Hernández-Unzón 2011). These meteorological events have been identified as mechanisms that cause ascending flow in the water column, resulting in temporal upwelling (Davis & Yan 2004, Walker et al. 2005).

<sup>&</sup>lt;sup>6</sup><http://smn.cna.gob.mx/>

The lowest marine mammal species richness was recorded during summer; however, an increase in species richness occurred during fall, which suggests that conditions were similar to winter conditions. The sighting rate increased to 0.047 sightings km<sup>-1</sup>, mainly of S. attenuata, coinciding with the later period of higher temperatures and deeper thermocline (~30 m), which are optimal conditions for feeding activities. Stenella attenuata feeds on a wide variety of fish (27 families), on cephalopods (17 families), and on some decapods (Galatheidae) (Perrin 2002). Some pelagic and reef fish species also spawn during this period (Silva-Segundo et al. 2006). These could be potential prey and the area could serve as a feeding area (May-Collado & Forcada 2012). It has been reported that the abundance of S. attenuata increased during the period of low water levels at the end of the rainy season in Costa Rica in the Gulf of Papagayo, when coastal upwellings also occurred, because abundance decreased significantly during the remainder of the year (May-Collado & Forcada 2012). This pattern could be similar to what has already been described about intermittent upwellings in our study area.

*Megaptera novaeangliae* individuals were recorded at the end of this period, coinciding with the transition phase towards winter. The recorded temperatures of 26-27°C could have favored their presence in this area to carry out reproductive activities during the next cold period (Calambokidis *et al.* 2001). In another hand, sightings of *T. truncatus* during this period confirmed its potential residence in Tenacatita Bay. Other cetacean species such as *S. longirostris* were not sighted during this warmer period, probably because their occurrence is related with environmental changes from the region.

In summary, the dynamics of the MCP region created favorable oceanographic conditions that allowed the aggregation of marine mammals mainly during the cold period to conduct feeding activities (by dolphins), and breeding activities (particularly by *M. novaeangliae*). However, it is important to keep in mind that the year 2011 was atypical because it was influenced by the cold phase of ENSO (La Niña)<sup>7</sup>; and probably our described relationship could be biased. Therefore, more research must to be conducted during a year with typical oceanographic conditions or over a longer period, to corroborate if the depth of the study area is the only parameter that determined species richness and distribution of marine mammals in the MCP region.

<sup>7</sup><www.cpc.ncep.noaa.gov/products/analysis\_monitoring/ ensostuff/ensoyears.shtml>

# ACKOWLEDGMENTS

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